# **Capturing Informal Communication for Use in Software Maintenance**

Vera Zaychik William C. Regli Department of Computer Science Drexel University 3201 Chestnut Street Philadelphia, PA 19104, USA

### **Abstract**

Email is an important source of information about the software development process, project history and design rationale. Extracting correlations between email conversations and design changes can be difficult without some knowledge of the context in which the conversations occurred. These correlations can be valuable for use in code maintenance and reverse engineering.

This paper presents an approach to aid software maintenance and improve project communications among software engineers. We have developed an environment called CodeLink for semi-automated creation of structured email archives. CodeLink is a software design rationale support tool that integrate email-based collaboration with the software development process. In this way, it provides teams of developers a means to automatically associate specific programmatic features, functions or code elements with email messages.

We believe that by integrating collaborative work tools with development tools we can enrich the communication within engineering teams and build repositories that detail collaborative decisions made in the development process. These repositories can then be used to improve software maintenance and extract design rationale.

### 1 Introduction

Project knowledge is contained within source code, requirements and design documents, bug databases, communications between developers, and the memories of individual developers. Project communication and collaborative exchanges contain a great deal of knowledge about design intent, but it is very difficult to extract what is useful effectively mainly because this medium is so poorly structured and often not captured.

Electronic means of communication between software engineers have become widely used but they still lack support for certain important factors of software development process. For example, in open-source projects, where participation is open to the whole software engineering community and is not restricted to any geographic area, email is the principle form of developer communication. In recent years a trend to use electronic means of communication in place of face-to-face meetings is becoming evident even in projects where a walk across the hall would do the trick. The asynchronous nature of email has increased its utility in these domains: a request for information does not have to be satisfied immediately by the recipient but it is placed at sender's convenience. There is also less intrusion of personal space and less interruptions.

Email tools have become very sophisticated and are now considered a mission-critical part of any software development enterprise. However, email tools alone lack an adequate solution for key aspects of collaborative work process—specifically, email is domain neutral and does not capture *context*. Context is a sum of information we readily obtain from the participants by paying attention to surroundings and nonverbal cues: the exact subject of conversation, turn taking, etc. It is the collection of circumstances or conditions in which the communication act occurs. When we want to specify something, we can often point. Such facilities are not available in email. When a need arises to specify a point in a referenced document, the sender is forced to describe the location (third paragraph, second line or class Foo, function Bar, line 154).

All this informal communication contains a great deal of project-related information, often not found anywhere else [27]. The more developers rely on electronic communications, more data can be available from the communications to be used in the future. But the availability of data does not signify its attainability. Email archives of mailing lists and email discussions are often maintained by the organizations. Those archives provide insufficient search capabilities: the information might be there, but most people are not willing to sift through hundreds of messages for the relevant few. This is a classical example of intellectual cap-

ital that is being generated as part of the work process and being properly preserved.

The goal of this paper is to describe a methodology to enable context-aware email communications among collaborating software developers. Additionally, we introduce CodeLink, an integrated email system for capture of engineering communications and context developed based on this approach. We solve the problem of missing context in communication by allowing software engineers to include links to specific places in the document and automatically extracting context from their development environments. This, in turn, allows us to preserve information and analyze ensuing communications. The information archived in this manner can be used to simplify software maintenance by introducing a new history resource to programmers new to the project. In doing so, we aim to study two major questions:

- Can we extract useful project information from email communications between designers using minimal interference?
- Can we improve the communication between the developers by integrating collaborative work tools, like email, to software development environments?

CodeLink enables inclusion of references/links to specific parts of source code into an email message. At the time of such linking, source code is analyzed to extract semantic information (e.g. what function is the developer referring to?) and a snapshot is taken to be archived in a dedicated Concurrent Versions System (CVS)[12] archive. The semantics of developer context are encoded using a DAML ontology. All email messages containing code links inserted in above-described manner are archived in a database and indexed using semantic information extracted. These communications can be annotated, linked to any URL and arbitrarily grouped.

This paper is organized as follows: Section 2 provides an overview of related work, Section 3 describes CodeLink in detail, while Section 4 describes a user study we conducted. Section 5 concludes this paper by outlining limitations of the current approach as well as future research directions.

#### 2 Related Research

Recent research in computer supported cooperative work (CSCW), Context Awareness, and Design Rationale are all relevant to this project.

#### 2.1 CSCW and Context Awareness

Email has become the primary message tool used by 97% of North American knowledge workers on a regular

basis. It outpaced other media as the preferred way to receive or give input during work (66% vs 13% for face-to-face meetings and 12% for phone) [13]. Mailing lists are widely used to coordinate open-source projects[11], but email has also become not uncommon when communicating with someone in the next office or even in the same office[16, 8]. At the same time, it still presents many problems when used for certain tasks as it is not adapted for handling process and social context[25], workflow and negotiation. For a comprehensive review of studies of asynchronous communication see [21]. The advantages and deficiencies of using email specifically in Software Design are described in [24].

One aspect of communication still not well supported by asynchronous tools is context awareness. In face-to-face interactions, a great deal of information is expressed by using cues, which help in grounding between participants[9]. These cues are usually termed *context*. Context availability can improve the search capabilities by enabling more precise queries, which can result in enhanced recall and precision of results. Thus it is important to obtain context of email communications at the time of their creation. Then search of archived messages can be made based not only on text parsing, but also on context.

Most context-aware applications have been developed in the domain of mobile and wearable computing, and in domain-independent groupware. There are some exceptions. For example, Anchored Conversations[7], an application-independent tool for collaborative authoring, provides a chat utility with anchors which act as substitutes for deixis. However, the notion of context is used very narrowly, only enough information is extracted about the environment to allow anchors to be placed unambiguously due to the domain-independent nature of the tool. While CodeLink is a domain-specific tool, in other respects Anchored Conversations is most closely related to this research.

Usually new tools have to be introduced to the users instead of the well-known common ones. This results in disruption of the design process and possibly in rejection of the tool. To deal with this problem, Grudin[15] suggests building on existing and accepted tools where possible. A custom email client that demonstrates a particular functionality is likely to not include most other features of Microsoft Outlook or Netscape Communicator. Another common problem is that for such tools to be successful they have to be adopted by all members of the group[15]. This is commonly referred to as *Critical Mass or Prisoner's Dillemma*. In this research we added functionality to a commonly used email system to increase the chances of adoption.

### 2.2 Design Rationale

Over the past several years software projects have become increasingly decentralized – we live in the age of global economy. Software projects grow too large to be handled locally, outsourcing development activities to remote locations. Combined with the high turn-over rates, the problem of coordination is becoming more and more complex. One aspect of coordination is how to preserve and communicate the *how* and *why* of the development, information very valuable for maintenance and evolution of the software. The term most widely used in the research literature to describe these concepts is *Design Rationale* (DR).

Documenting DR during the design process has been shown to be a vital approach to improved correctness and speed in both Engineering[3] and Software[2] domains. In the areas with use of mostly ad-hoc tools, such as open-source projects, the need is felt most strongly: although the mailing lists of communications between developers are archived and available online, the lack of structure creates a barrier to effective retrieval and management, and thus an entry barrier for new developers to join[11].

Although most DR systems to-date are either generic or tailored to solve engineering or architectural design problems, several systems have been developed specifically for Software Engineering. Comet[20], a commitment-based system for sensor-based tracker software, uses explicit representation and reasoning with commitments to aid the software development, especially when considering reuse or change of a certain module. Comet analyzes the source code to get commitments, structure and behavior specifications of modules, to perform impact analysis. Developers can also explicitly state commitments. COMANCHE (COoperative MAintenance Network Centered Hypertextual Environment)[5], a multi-user language-independent environment for cooperative maintenance, allows different programmers to concurrently access and manipulate information related to maintenance requests, the design and implementation decisions made, and their motivations. It allows programmers to annotate any form of textual documents to provide Rationale in the Small, that is rationale concerned with implementation activities (as opposed to Rationale in the Large, concerned with design activities). The PPIS (Process and Product Information System)[22], an information and browsing system for software design and evolution, provides a general purpose hypertext environment. Designers place and move objects and can attach links and annotations to them.

Since design rationale research originally started with argumentation approach, most systems to-date rely on user intervention to gather information. This approach has met with limited success because it demands substantial designer time to enter information[6] or alters the design

process[10]. Designers are reluctant to document their actions during the detailed design process[14], and there are significant difficulties in getting them to use argumentation schemas to structure their thinking during real design tasks[4]. Due to this, several automatic design rationale capture systems have been developed in the last 10 years.

There are two general approaches to automatic design rationale capture. The first approach is to create a system specialized for a certain domain with well-defined semantics and/or put certain constraints on the design process. Another general approach to automatic design rationale capture is based on the communications perspective. This perspective states that design discourse, i.e. naturally occurring communication among the group members in the process of design, contains the design rationale and it can be captured without user intervention by recording the thoughts rather than shaping them. A study of a design team involved in conceptual mechanical design by Yen at al[27] showed that formal reports accounted for only 5% of the total noun phrases, while hypermail archive (email) contained 43%. Noun phrase metric for engineering design has been introduced by Mabogunje[19] to access the design process and predict design team performance. A strong correlation was found between the success of a product as measured by expert evaluation and the number of distinct noun phrases found in documentation. The general disadvantage of automatic capture method is that recorded information lacks structure and is difficult to retrieve in a systematic and meaningful manner.

Most communication-based systems allow import of multimedia data and hyperlinks between the artifact and other data. The result is a web of information with links to requirements, deliberations, simulation and analysis results, etc. This is an electronic equivalent of a design notebook. The HOS system[17] provides an environment for computer network design with facilities to import email and news files. The structured rationale is supported through *incremental formalization* by using simple text analysis and domain knowledge. HOS makes suggestions for formalization to the user for possible addition of links within the acquired information. Notice: the burden of importing relevant information into the system stays with the designer, but once the information is inserted, it can be linked to other objects.

When the communication information captured is not structured in a formal way, but is rather a web of hyperlinked objects, then it is not a Design Rationale environment in a strict sense of the definition. Instead, it is a *Design History* environment. The difference is that in a design history software, explanations and answers to specific queries are not provided. Rather, the user has to look through the supporting documentation to find out the answers. The environment merely provides a convenient way to attach and

later locate the relevant information. OzWeb[18], a hypercode environment for software development, uses WWW technology (HTTP and HTML) to provide access to source code and supporting documentation and allows incremental addition of links as useful connections are discovered.

The main disadvantage of systems that require user importing the data is that the effort required is too great with no clear short term value. The users have to perceive a clear benefit to using the system or the effort required has to be minimal[15]. We counter this problem by automatically capturing the email exchanges between the developers and providing the ability to include deictic references.

# 3 CodeLink Architecture and Implementation

Our technical approach, and the CodeLink system, is based on the following observations:

- Designers and software developers resent interruptions and resist process changes. Due to this manual design rationale capture methods have been generally unsuccessful in the industry. The goal is to capture process knowledge with minimum overhead and the least interference.
- 2. Automatic capture methods have made some headway, but encountered the problem of lack of structure. Informal communications such as email exchanges are easy to capture, but difficult to retrieve efficiently. At the same time, we still want to capture informal communication as it proved to contain a great deal of process and product information.
- 3. Email applications are generic domain-independent tools. While this fact has lead to wide acceptance of email in the workplace, it also caused loss of context information in communication. Users find workarounds for this problem, but missing context can result in vagueness and misunderstanding. To insert context information manually some effort is required.

The goal is to (as much as possible) automatically and unobtrusively extract the software development context that should be associated with email-based project collaboration. CodeLink uses these emails, along with code snapshots, to build a repository which can be searched in a variety of ways to improve the software development, management and maintenance process.

### 3.1 Approach

To access context information within the development environment we couple the email client with the software

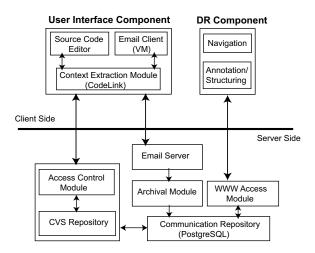


Figure 1. CodeLink Software Architecture.

development environment. When a developer points to or is editing a certain piece of code, what information is relevant and important to the current communication? In our approach, there are several important pieces of information that are available for extraction. Not all of them are necessary for immediate goal of pointing out a piece of code to recipients of communication, but they become important for structuring and indexing accumulated communication data. Although Codelink only implements context extraction from code, one can envision harvesting other types of software engineering objects, such as bug reports, design documents, etc. It is only a matter of defining the schema for such objects and then implementing the functionality in the application, while the general approach is the same as discussed here.

A message context, C, for an exchange between software engineers, is defined as a tuple  $C = \langle P, T, E \rangle$ : P is the project information about which the subjects are communicating, T is the task in which the author of the message is engaged at and shortly before the exchange, and E is the personal environment of the author of the exchange. In turn, P can be defined on different levels of abstraction. In the case of Java-based systems:

- On the topmost level, P consists of project name and location. This can also include package name if available.
- 2. When the project is in the development stage (as opposed to design), the additional level is specific file/files information: *file name* and *version*.
- 3. In object-oriented programming the software is broken up in logical functional units called *classes*. In such case class name is part of the project information.
- 4. Function name. Functions are groups of statements

performing a particular functionality.

5. *Line number.* The exchange can be on the level of a particular programming statement.

Of the above listed levels of abstraction of project information, the following constitute context in current implementation: file name, line number, enclosing function, enclosing class, enclosing package, CVS repository containing the file (project name), and CVS root (project location), i.e. the central location of the repository that is accessed by all developers. Version number is currently not extracted. If CVS version control is not used for the project, the name of the directory containing the file is assumed to be the name of the project.

While the examples provided here are based on Java, any programming language can be mapped to this schema. Additionally, function, class and package names can take on 'not applicable' value. This is due to the fact that the line pointed out by the developer does not have to be part of any function, class or package. This is the case, for example, if the selected line is the import statement at the beginning of the file. In the same way, C++ code does not have a notion of packages and that value is always 'not applicable'. Figure 4 provides the list of languages and context-based cues supported in the current version CodeLink.

Our current implementation of context is limited in that T and E of the context C definition are currently not extracted, i.e. CodeLink does not know project management and workflow information such as the task the user is concentrating on, his/her actions right before the exchange, other source files being modified. One could inquire as to the connection between the exchange and the recipients of the message. The email might be addressed to another employee on the same hierarchy level or to someone higher, a manager perhaps. This might have significance to the exchange and for later retrieval, but it is not easily extracted and even more difficult to analyze. Additionally, a piece of information that would be very useful but not easily available is the intent of exchange: is the message request for information, a reply, or perhaps a notification of change. This information can be somewhat reliably extracted using speech act theory or by requiring the author of the message to specify this explicitly. While not part of our present implementation, Extraction of these aspects of context can be accomplished by integration of CodeLink with project management and workflow systems.

#### 3.2 Software Architecture

The software architecture consists of several server and user modules, as shown in Figure 1.

 On the user side, a context extractor and mime handler are responsible for enabling sending and receiving



Figure 2. An example of context extraction during the link inclusion process.

messages with references.

- A web browser allows access to the online browsing/search interface to the communication database, and to the history of source files annotated with relevant messages.
- On the server side, several services enable archival of messages sent, storage of file snapshots and the interface to the database. All server-side components except for web interface are implemented by creating a separate Unix user. This user runs all services and is the owner of the CVS and Postgres databases.

The context extractor, when invoked by the user, analyzes the source code and extracts relevant information. This information is encoded using DAML ontology and inserted into the email as a MIME attachment of type daml/code-link. At the same time, a snapshot of the source file is taken and sent to the CVS access control module using CVSPUT request. Thus only the link is attached to the message, files themselves are not. See Figure 2 for an example of context extraction during the link inclusion process.

DAML is the DARPA Agent Markup Language, based on Resource Description Framework (RDF) and Extensible Markup Language(XML)[1]. It provides a set of constructs with which to create ontologies and to markup information so that it is machine readable and was created as part of the Semantic Web initiative. The main motivation behind

this language is to describe information contained in the Web pages so that computer agents can read and interpret it. Currently, Hypertext Markup Language (HTML) is used for these purposes, but it is not well suited for computer interpretation and understanding. XML was developed by the World Wide Web Consortium (W3C) so that custom tags can be defined to provide metadata markup. XML is sufficient to describe the syntax of the information, but not the semantics. RDF, on the other hand, can describe semantics, but only on a limited level. For example, only range and domain constraints can be put on the properties, while other restrictions are needed for rich representation. Additionally, sometimes properties of properties need to be specified (for example, to say that a property is unique, transitive, and so on). RDF does not allow for this. Necessary and sufficient conditions for class membership cannot be specified using RDF, no equivalence or disjointness of classes. For all these reasons an extension to RDF using XML syntax was developed and called DAML. DAML uses a concept of namespaces to allow reuse of ontology libraries. This means that when a certain concept is used (class or property), the ontology of its origin needs to be specified. This avoids clashes between different libraries and definitions, as well as providing for automated inference.

Any number of references/links can be inserted in any particular email message. Any message containing references to code is automatically forwarded to the Archival Server. The user can also independently cc: any message he/she feels is important to archive to the server as it has a dedicated email address.

Mime Handler. Once the recipient receives the message, code references can be displayed using a special mime handler for daml/code-link attachments. This handler parses the DAML-encoded attachment and sends a CVS-GET request to the server specified in the reference. It gets the file back and displays it as an HTML file with a bookmark to the sender's selection.

CVS Access Control Service. On the server side, a special CVS repository is set up for referenced code files. However, it is not accessed directly. Rather, a custom access control service receives CVSGET and CVSPUT requests and fulfills them. When fulfilling a CVSPUT request, the method of retrieval and the version number of the file are returned. The file requested is returned for CVSGET requests. In both cases, if the request is faulty, error is returned to the client. Several client requests can be handled concurrently. The CVSGET requests are also received from the Web browsing/search interface.

The archival server receives all the email messages from the Email server. It parses the messages and the daml/code-link attachments and saves them off to a PostgreSQL database (PostgreSQL[23] is an open-source Object-Relational Database Management System). The

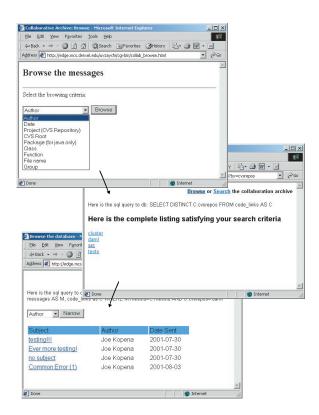


Figure 3. Example of web interface browsing by project name.

database has a web browsing/search interface. This interface allows browsing by author, date, project name, cvs root location, package, class and function names. The messages can also be grouped and browsed by groups. The user can add comments and links to any message in the database. While browsing, the user can narrow the query if too many matches are returned by any of the other browsing criteria. See Figure 3 for an example of web interface browsing by project name.

**History-annotated code**. Another module annotates the source file with history information taken from the CVS log. It also inserts links to the messages about the specific lines of code by interfacing to the message database. This module can be very useful since it maps messages back to the artifact presenting them in the original context while not requiring tight integration or storage in the artifact.

### 3.3 Implementation

The above described architecture has been implemented in a prototype called CodeLink currently running in our laboratory. For the prototype of the system we use Emacs as a source code development environment. Emacs is a very popular GNU software and is widely used in research com-

Field	C++	С	Java	Perl
Function name	return_type name (args) {	return_type name (args) {	return_type name (args) [throws exception] {	sub <i>name</i> [(args)] {
Class name	class name {	not applicable	class name [extends, implements] {	not applicable
Package name	not applicable	not applicable	package name;	package name;

Figure 4. Context equivalents for different languages

munity. Additionally, it provides a language called ELisp (Emacs Lisp) using which additional functionalities and modules can be developed and distributed by any Emacs user. Over the years Emacs has grown to include version control interfaces (for example, pcl-cvs is an emacs interface to CVS), inline web browsers (W3), email clients (VM) and many other extensions. We use VM[26] as an email client for this project because it runs inside Emacs and is written entirely in ELisp, which makes it very easy to interface with. VM is an open-source software, that has usual email client functionality, as well as more advanced commands that complete tasks like bursting and creating digests, message forwarding, organizing message presentation according to various criteria, and creating rule-based virtual folders. This also means that the module is systemindependent, i.e. it can run equally well on Windows, Unix or any other platform as long as Emacs and VM are installed. Although VM is not the most widely used email client, it has the same attractive quality of Emacs—ease of integration and extensions. Other widely used clients, such as Microsoft Outlook or Netscape Communicator, have limited or difficult to customize user interfaces. It should be noted, however, that the general principle behind CodeLink could be used to implement a context-aware collaboration environment with these commercial systems. For example, Microsoft's Outlook, NetMeeting and VisualStudio could be integrated with VisualBasic in a similar manner as we describe here with CodeLink. For prototyping and testing purposes, however, we chose Emacs.

The context extraction is written in ELisp and uses mode-specific Emacs functions whenever possible. Currently, Java, C++, C and Perl are supported, however a link to any type of file can be inserted, in which case function, class and package information is not extracted. Table 4 demonstrates the mapping of context concepts for different languages. In Perl sub is mapped onto function name, and class name is always 'not applicable'. When linking to C source files, both class and package name are always 'not applicable'. After extracting language specific information about the selection, we need to find out whether the current file is a part of some CVS repository. For this, we look for directory named CVS in the parent directory of the file. If

such a directory is found, the file Root states the location of CVS root, and the file Repository – the name of the repository/project. If such information is unavailable, the file is assumed to not be a part of any CVS repository, and the name of the parent directory is used instead. It is assumed that most groups do use some version control system and CVS is the system of choice for most open-source projects.

It is evident that once the file changes, the link will no longer be correct. In order to deal with this problem, a copy of the current state of the file, snapshot of sorts, is saved and sent to the CVS server. This is achieved by making a direct connection to the custom access control service with a CVSPUT request. The service returns the version number of the snapshot and the exact method to get it in the future to be included in the link. This way the correct version of the file is always displayed when using links. The latter is included in the link so that no one central CVS repository is required for all users. As long as the link contains the method to get to the snapshot, it is not important what particular repository is used by one user or another. This implementation is not the only possible one, nor necessarily the best one. Currently, the access control service can become a bottleneck if a lot of requests are dispatched. Instead the files can be inserted as attachments directly to the email message. We decided against such implementation, however, to not overburden the email message with possibly many different attachments and also not to confuse the user.

The information thus extracted is encoded using DAML ontology, the current version of which is located at the GICL website<sup>1</sup>. The encoded information is included as a MIME attachment of new type daml, sub-type code-link. When the user execute a 'send' command on the email message with attachments of type daml/code-link, such message is automatically Bcc: to the archive. All server-side services except web interface are run by a special user on a Unix/Linux platform. This user has an account and an email address. To this email address all the messages are forwarded. In current implementation we have such a special user set up on a Linux server.

#### 3.4 Scenarios

To illustrate the approach, here are two scenarios of use of CodeLink.

**Scenario 1.** A group of software engineers are working on a new software project. Developer 1 is working on error correction. Previously, the errors were handled using one Error class and strings. Developer 1 created several subclasses to handle different errors more specifically. He checks the code into the code repository the group is using

 $<sup>{}^{</sup>l} \texttt{http://edge.mcs.drexel.edu/uvzaychi/DAML/message-ontology.daml}$ 

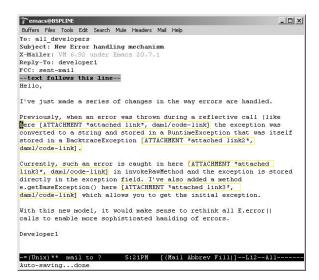


Figure 5. Screenshot of the email message composed by Developer 1 with links inserted.

for version control and sends an email out to all developers to let them know they need to update their code to this new error handling model. The developer wants to provide code details in his message, refer to specific parts of the implementation, and provide examples of use of the new model. Problem: there is no easy way to insert links to specific code instances.

Scenario 2. Developer 1 is assigned a bug dealing with a certain functionality being unavailable in one of the modes of the software. He traces the code and discovers that the functionality in question is specifically disabled for that mode, but no reason is given in the comments. He removes the restriction but the resulting software produces incorrect results or crashes. It is evident that there was a reason for the original functionality, but where is this information contained? Problem: all changes to software have reasons behind them, but it is not easy to find such information after the fact.

In this work we present a systematic approach to deal with the problems in both of these scenarios. By solving the first problem we find a solution to the second. In Scenario 1 the problem that the developer is facing is lack of ability to express context information along with the content. Scenario 2 describes a more important problem of missing information. Using our approach and CodeLink, the scenarios can continue as following.

**Scenario 1.** Developer 1 inserts a link to the old version of error handling code and explains why such model was not sufficient for the project. He does that by simply invoking a menu option in the email client and pointing to the buffer containing the code (Figure 5). He then inserts a link to the new implementation of error correction using the



Figure 6. Screenshot of blame-annotated code with links to relevant messages.

same method and another link to an example of how errors should be handled from now on. Other developers on the team receive the email and are able to click on the links and see the change in the code. The file in question is opened in a browser and jumps directly to the selection made by the originator of the message. They also look at the example to make sure they understand the new approach. Developer 2 finds an inconsistency between the new code and the example and answers the original email pointing to the problem. She also has a question as to the overall effectiveness on the new approach. Developer 1 fixes the inconsistency and also answers the question of Developer 2. Other developers also have minor feedbacks about the change and answer the original email. Every one of the above mentioned messages is forwarded to the archival database for maintenance purposes.

**Scenario 2.** Developer 1 retrieves the history of the source file in question and finds out who wrote the lines and when. He also discovers that several messages have been sent about the lines in question at the time of the original implementation (Figure 6). He reviews the messages and discovers that the functionality does not apply to this mode and would not make any sense. One of the messages also contains a link to the white paper on the subject. He then removes the changes he made and also inserts the line of comment explaining the exception. The bug report is closed with detailed explanations and links to the messages in the archive.

## 4 User Study

We conducted an informal user study to ascertain the utility of CodeLink. CodeLink was made available to three groups of users for a period of several weeks. All three groups have been working on software projects for a long time before our software was introduced into their communication process. Users were instructed to only use CodeLink when the need for it is felt, and not in all project emails. While the study was not large enough to provide for statistically significant data analysis, several interesting observations can be made.

- Users found no or little problem in inserting or displaying links. They chose to do so quite often. Most of the email messages with links were meant to point out specific piece of code that needed work, had a bug, or that somebody needed help with.
- 2. CodeLink was extensively used in a guru-novice scenario, where one person knew the product or programming language to a much greater extent than the other. In such cases, the guru used links to refer to specific parts of code for explanation, while the novice used them to ask questions. Email messages composed in such a scenario were very descriptive and contained a great deal of information about the software code. Such messages could be very useful for other novices on the project in the future.
- 3. Most user problems were caused by their unfamiliarity with the email client, VM. Only one developer was a novice Emacs user, and that person sent the least amount of messages. In short, people better acquainted with the email client and the development environment were more likely to compose messages with links.

### 5 Discussion and Conclusions

This work presents an approach to enhance context-aware collaboration among software engineers and archive the communications during the software project lifecycle. The paper introduces a tool, CodeLink, demonstrating this approach and provides an informal user study indicating its utility in several practical software maintenance scenarios.

Our fundamental contribution is in the integration of software development and collaborative work environments. While our demonstration domain is only email, we believe the general approach can be applied to other engineering domains and used for other forms of collaboration media. We showed that the ability to insert links into email messages can improve communications among engineers by reducing time required to specify references to code. Further, communication archives structured using context

information provide wider range of queries, which leads to better search results when examining software project records.

Our work, and CodeLink in particular, does not form a complete solution. We envision a larger suite of tools, such as SourceForge, being integrated with multiple collaboration tools and project management and workflow support systems. The main limitations to our include is that it assumes that developers send a great deal of messages with code references and that the ratio of such messages to all the project messages is high. This ratio depends on many things: how distributed the work process is, what stage it is in, the roles between the developers and, most significantly, the business and management practices of the organization (i.e., is this kind of exchange required or encouraged?). For example, in a mentor-student relationship there is a high likelihood of request-reply exchanges with code references in the replies. From our observations in opensource projects the size of the project and the number of the developers makes a difference in the ratio of code-related messages. Older and bigger projects usually draw a great deal of participants and the ratio becomes smaller. Overall, only a significant user study across a wide range of organizations could show how much relevant information can be captured with this approach.

This paper does not consider security and privacy issues, although such matters can be very important. Developers can feel apprehensive about their messages being archived since such record might be used against them in the future (to show their incompetence, for example). There can also be a sense of 'big brother watching'. In the current approach not all messages are archived, but only the ones that are known to have project-related information due to the links to code. All other messages are ignored, whether they are personal or project-related. This results in a trade-off of getting more sense of security in using the application but losing project-related messages without links. Additionally, the security of company-owned information needs to also be considered. Currently, the message archive (and thus parts of the code) is available to the entire organization. If CodeLink is to be used in a corporate environment, some form of role-based access control would be needed within the message repository.

Future work on this project can extend in several different directions: visualization, user studies, integration with other communication media, more extended notion of context, and natural language processing combined with different retrieval strategies for explicit extraction of design rationale.

### 6 Acknowledgements

This work was supported in part by National Science Foundation (NSF) Graduate Research Fellowship, Knowledge and Distributed Intelligence in the Information Age (KDI) Initiative Grant CISE/IIS-9873005; and Office of Naval Research Grant N00014-01-1-0618. Any opinions, findings, and conclusions or recommendations expressed in this material are those of the author and do not necessarily reflect the views of the National Science Foundation or the other supporting government and corporate organizations.

### References

- [1] The DARPA agent markup language (DAML). http://www.daml.org, 2000.
- [2] L. Bratthall, E. Johansson, and B. Regnell. Is a design rationale vital when predicting change impact? a controlled experiment on software architecture evolution. In *Proceedings of PROFES'00: 2nd International Conference on Product Focused Software Process Improvement*, Oulu, Finland, June 20-22 2000.
- [3] F. M. T. Brazier, P. H. G. Van Langen, and J. Treur. A compositional approach to modelling design rationale. *Artificial Intelligence for Engineering Design, Analysis and Manufacturing*, 11(2):125–139, April 1997.
- [4] S. J. Buckingham-Shum and N. Hammond. Argumentationbased design rationale: What use at what cost? *Human-Computer Studies*, 40(4):603–652, April 1994.
- [5] G. Canfora, G. Casazza, and A. D. Lucia. A design rationale based environment for cooperative maintenance. *Inter*national Journal of Software Engineering and Knowledge Engineering, 10(5):627–645, October 2000.
- [6] J. M. Carroll and T. P. Moran. Special issue on design rationale. *Human-Computer Interaction Journal*, 6(3-4):197– 442, 1991.
- [7] E. F. Churchill, J. Trevor, S. Bly, L. Nelson, and D. Cubranic. Anchored conversations: Chatting in the context of a document. In *Proceedings of the CHI 2000 Conference on Human Factors in Computing Systems*, pages 454– 461, The Hague, The Netherlands, April 1-6 2000. ACM, ACM Press.
- [8] V. Cicirello, A. Harris, E. Lee, V. Thomas, and V. Zaychik. A study of teamwork and collaboration in software design. Human-Computer Interaction Class Project Report, Drexel University, May 1999.
- [9] H. H. Clark and S. E. Brennan. Perspectives on Socially Shared Cognition, chapter Grounding in communication, pages 127–149. American Psychological Society, Washington, D.C., 1991.
- [10] J. E. Conklin and K. Burgess Yakemovic. A processoriented approach to design rationale. *Human-Computer Interaction*, 6(3-4):357–391, 1991.
- [11] D. Cubranic and K. S. Booth. Coordination in open-source software development. In *Proceedings of the 8th IEEE Inter*national Workshops on Enabling Technologies: Infrastructure for Collaborative Enterprises, pages 61–66, Palo Alto, CA, June 16-18 1999. IEEE, IEEE Press.

- [12] Concurrent versions system. http://www.cvshome.org.
- [13] K. Fadden and S. Battles. Messaging for innovation: Building the innovation infrastructure through messaging practices. A Study by Pitney Bowes, August 2000.
- [14] G. Fischer, A. C. Lemke, and R. McCall. Making argumentation serve design. *Human-Computer Interaction*, 6(3-4):393–419, 1991.
- [15] J. Grudin. Groupware and social dynamics: Eight challenges for developers. Communications of the ACM, 37(1):92–105, January 1994.
- [16] J. Hollan and S. Stornetta. Beyond being there. In Proceedings of ACM Conference on Human Factors in Computing Systems (CHI'92), pages 119–125, Monterey, CA, May 1992. ACM, ACM Press.
- [17] F. M. S. III and R. J. McCall. Integrating different perspectives on design rationale: Supporting the emergence of design rationale from design communication. *Artificial Intelligence for Engineering Design, Analysis and Manufacturing*, 11(2):141–154, April 1997.
- [18] G. E. Kaiser, S. E. Dossick, W. Jiang, and J. J. Yang. An architecture for www-based hypercode environments. In *Proceedings of the 1997 International Conference on Software Engineering*, pages 3–13, Boston, United States, May 17-23 1997. ACM, ACM Press.
- [19] A. Mabogunje and L. J. Leifer. Noun phrases as surrogates for measuring early phases of the mechanical design process. In *Proceedings of the 9th International Conference* on Design Theory and Methodology (ASME/DETC), Sacramento, CA, 1997. ASME.
- [20] W. Mark, S. Tyler, J. McGuire, and J. Schlossberg. Commitment-based software development. *IEEE Transactions on Software Engineering*, 18(10):870–886, October 1992.
- [21] J. E. McGrath and A. B. Hollingshead. *Groups Interacting with Technology: Ideas, Evidence, Issues, and an Agenda*, volume 194 of *Sage Library of Social Research*. Sage Publications, Thousand Oaks, CA, 1994.
- [22] S. Monk, I. Sommerville, J. M. Pendaries, and B. Durin. Supporting design rationale for system evolution. In W. Schäfer and P. Botella, editors, *Proceedings of the 5th European Software Engineering Conference (ESEC'95)*, pages 307–323, Sitges, Spain, September 25-28 1995. Springer-Verlag.
- [23] http://www.postgresql.org.
- [24] M. Saeki, S. Sureerat, and K. Yoshida. Supporting distributed individual work in cooperative specification development. In S. Bhalla, editor, Lecture Notes in Computer Science, Proceedings of the 6th International Conference on Information Systems and Management of Data (CISMOD'95), pages 232–247, Bombay, India, November 15-17 1995. Springer.
- [25] K. Sproull. The nature of managerial attention. In L. Sproull and P. Larkey, editors, Advances in Information Processing in Organizations, pages 9–27. JAI Press, Greenwich, CT, 1984
- [26] http://www.wonderworks.com/vm.
- [27] S. J. Yen, R. Fruchter, and L. Leifer. Facilitating tacit knowledge capture and reuse in conceptual design activities. In

ASME Design Engineering Techinical Conferences, 11th International Conference on Design Theory and Methodology, New York, NY, USA, September 12-16, Las Vegas, NV 1999. ASME, ASME Press. DETC99/DTM-8781.